



IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

#6/Election
8/7/01
V. Hart

Applicant: **Wu, et al.**

Case: **4658/CMP/CMP/RKK**

Serial No.: **09/583,512**

Filed: **May 31, 2000**

Examiner: **Rose, Robert**

Group Art Unit: **3723**

Title: **SYSTEM AND METHOD FOR CHEMICAL MECHANICAL
PLANARIZATION**

ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

RECEIVED
AUG - 2 2001
TC 3700 MAIL ROOM

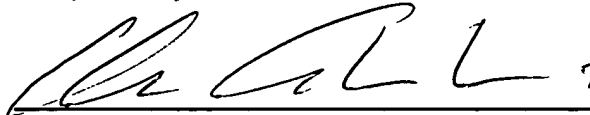
S I R:

RESPONSE TO RESTRICTION REQUIREMENT

In response to the Office Action (paper number 5) dated July 6, 2001, which imposed a restriction requirement in the above-captioned patent application, the Applicants elect, without traverse, the invention represented by claims 13-27 (Group II) for further prosecution.

The Applicants reserve the right to subsequently file divisional applications in order to prosecute the inventions appearing in the non-elected groups.

Respectfully submitted,

 7/26/01

KEITH TABOADA, ESQ.

Reg. No. 45,150
(732) 530-9404

Thomason, Moser & Patterson, LLP
595 Shrewsbury Avenue
Suite 100
Shrewsbury, NJ 07702

Please continue to send all correspondence to:
Patent Counsel
Applied Materials, Inc.
P.O. Box 450A
Santa Clara, CA 95052

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited on
July 26, 2001 with the United States
Postal Service as first class mail, with sufficient postage, in an
envelope addressed to the Assistant Commissioner of Patents,
Washington, D.C. 20231.

Allyson M. DeVesty
Signature
7-26-01
Date of signature

3723

PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☒

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/583,512
	Filing Date	May 31, 2000
	First Named Inventor	Wu
	Group Art Unit	3723
Examiner Name	Robert Rose	
Total Number of Pages in This Submission		Attorney Docket Number 4658/CMP/CMP/RKK

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Response to Restriction Requirement
Remarks 		RECEIVED AUG - 2 2001 TC 3700 MAIL ROOM

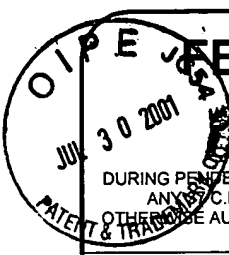
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Keith Taboada, Esq. Thomason, Moser & Patterson, LLP		
Signature			
Date	July 26, 2001		

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: July 26, 2001			
Typed or printed name	Allyson M. DeVesty		
Signature		Date	July 26, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



FREE TRANSMITTAL for FY 2001 *Patent fees are subject to annual revision.* DURING PENDENCY, PLEASE CHARGE DEPOSIT ACCOUNT 20-0782 FOR ANY 37 C.F.R. 1.16 AND/OR 37 C.F.R. 1.17 FEES DUE AND NOT OTHERWISE AUTHORIZED. PLEASE CREDIT DEPOSIT ACCOUNT 20-0782 FOR ANY OVERPAYMENTS

TOTAL AMOUNT OF PAYMENT (\$) 0

Complete if Known	
Application Number	09/583,512
Filing Date	May 31, 2000
First Named Inventor	Wu
Examiner Name	Robert Rose
Group / Art Unit	3723
Attorney Docket No.	4658/CMP/CMP/RKK

RECEIVED
 AUG - 2 2001
 TC 3700 MAIL ROOM

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																		
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number: 20-0782</p> <p>Deposit Account Name: Thomason, Moser & Patterson, LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td></td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td></td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td></td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td></td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td></td></tr> <tr><td>123</td><td>130</td><td>123</td><td>130</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td></td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td></td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td></td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td></td></tr> </tbody> </table>		Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65		127	50	227	25		139	130	139	130		147	2,520	147	2,520		112	920*	112	920*		113	1,840*	113	1,840*		115	110	215	55		116	390	216	195		117	890	217	445		118	1,390	218	695		128	1,890	228	945		119	310	219	155		120	310	220	155		121	270	221	135		138	1,510	138	1,510		140	110	240	55		141	1,240	241	620		142	1,240	242	620		143	440	243	220		144	600	244	300		122	130	122	130		123	130	123	130		126	180	126	180		581	40	581	40		146	710	246	355		149	710	249	355		179	710	279	355		169	900	169	900	
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																
105	130	205	65																																																																																																																																																	
127	50	227	25																																																																																																																																																	
139	130	139	130																																																																																																																																																	
147	2,520	147	2,520																																																																																																																																																	
112	920*	112	920*																																																																																																																																																	
113	1,840*	113	1,840*																																																																																																																																																	
115	110	215	55																																																																																																																																																	
116	390	216	195																																																																																																																																																	
117	890	217	445																																																																																																																																																	
118	1,390	218	695																																																																																																																																																	
128	1,890	228	945																																																																																																																																																	
119	310	219	155																																																																																																																																																	
120	310	220	155																																																																																																																																																	
121	270	221	135																																																																																																																																																	
138	1,510	138	1,510																																																																																																																																																	
140	110	240	55																																																																																																																																																	
141	1,240	241	620																																																																																																																																																	
142	1,240	242	620																																																																																																																																																	
143	440	243	220																																																																																																																																																	
144	600	244	300																																																																																																																																																	
122	130	122	130																																																																																																																																																	
123	130	123	130																																																																																																																																																	
126	180	126	180																																																																																																																																																	
581	40	581	40																																																																																																																																																	
146	710	246	355																																																																																																																																																	
149	710	249	355																																																																																																																																																	
179	710	279	355																																																																																																																																																	
169	900	169	900																																																																																																																																																	
<p>2. <input type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>		<p>Other fee (specify)</p>																																																																																																																																																		
<p>FEE CALCULATION</p> <p>1. BASIC FILING FEE</p> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee</td><td></td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table> <p>SUBTOTAL (1) (\$) 0</p>		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	710	201	355	Utility filing fee		106	320	206	160	Design filing fee		107	490	207	245	Plant filing fee		108	710	208	355	Reissue filing fee		114	150	214	75	Provisional filing fee		<p>2. EXTRA CLAIM FEES</p> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>-20**</td> <td>= 0</td> <td>X</td> <td>= 0</td> </tr> <tr> <td>Independent Claims</td> <td>-3**</td> <td>= 0</td> <td>X</td> <td>= 0</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td>X</td> <td>= 0</td> </tr> </tbody> </table> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim, if not paid</td><td></td></tr> <tr><td>109</td><td>80</td><td>209</td><td>40</td><td>** Reissue independent claims over original patent</td><td></td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr> </tbody> </table> <p>SUBTOTAL (2) (\$) 0</p>		Total Claims	Extra Claims	Fee from below	Fee Paid	-20**	= 0	X	= 0	Independent Claims	-3**	= 0	X	= 0	Multiple Dependent		X	= 0	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	103	18	203	9	Claims in excess of 20		102	80	202	40	Independent claims in excess of 3		104	270	204	135	Multiple dependent claim, if not paid		109	80	209	40	** Reissue independent claims over original patent		110	18	210	9	** Reissue claims in excess of 20 and over original patent																																																									
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																															
101	710	201	355	Utility filing fee																																																																																																																																																
106	320	206	160	Design filing fee																																																																																																																																																
107	490	207	245	Plant filing fee																																																																																																																																																
108	710	208	355	Reissue filing fee																																																																																																																																																
114	150	214	75	Provisional filing fee																																																																																																																																																
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																																	
-20**	= 0	X	= 0																																																																																																																																																	
Independent Claims	-3**	= 0	X	= 0																																																																																																																																																
Multiple Dependent		X	= 0																																																																																																																																																	
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																															
103	18	203	9	Claims in excess of 20																																																																																																																																																
102	80	202	40	Independent claims in excess of 3																																																																																																																																																
104	270	204	135	Multiple dependent claim, if not paid																																																																																																																																																
109	80	209	40	** Reissue independent claims over original patent																																																																																																																																																
110	18	210	9	** Reissue claims in excess of 20 and over original patent																																																																																																																																																
<p>**or number previously paid, if greater; For Reissues, see above</p>		<p>*Reduced by Basic Filing Fee Paid</p> <p>SUBTOTAL (3) (\$) 0</p>																																																																																																																																																		

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Keith Taboada	Registration No. Attorney/Agent	45,150
Signature		Telephone	(732) 530-9404
		Date	July 26, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.